8-K-02



PPLICANT(S): **SERIAL NO.:**

Robert P. St. Pierre, et al.

ART UNIT: EXAMINER:

2152

10/037,800

FILED:

January 4, 2002

TITLE:

METHOD AND APPARATUS FOR CONVEYING A SECURITY

CONTEXT IN ADDRESSING IN

Assistant Commissioner for Patents Washington, DC 20231

RECEIVED Technology Center 2100

INFORMATION DISCLOSURE STATEM

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

- [XX] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- [] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:
 - the fee set forth in $\S 1.17(p)$; or the statement specified in § 1.97(e).
- This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:
 - (1) the statement specified in § 1.97(e); and
 - the fee set forth in $\S 1.17(p)$. **(2)**

U.S. PATENT APPLICATION NO.: 10/037,800 ATTORNEY DOCKET NO.: 16159.035001; P6566

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 16159.035001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Dated: $\frac{7}{2}q/\epsilon z$

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P. One Houston Center, Suite 2800 1221 McKinney Street Houston, Texas 77010

Telephone No.: (713) 228-8600 Facsimile No.: (713) 228-8778

I certify that this document is being deposited on 2002, with the U.S. Postal Service as first class mail under 37 CFR 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Brenda C. McFadden

C. Me Fadden

32947 1.DOC

SUBSTITUT	E FOR	U.S. DEPAR	TMENT OF COMMERCE	Complete if Known			
FORM 1449			atent and Trademark Office	Application Number	10/037,800		
가 ' ' 송				Filing Date	January 4, 2002		
0 5 7002 S	FORM <i>A</i>	TION DIS	SCLOSURE PPLICANT	First Named Inventor	Robert P. St. Pierre, et al		
MIG. U.S. D. S.		ENI DI A	LICANI	Group Art Unit	2152		
E CURRY	(use	as many sheets a	s necessary)	Examiner Name	ncCE		
Sheet	1	of	1	Attorney Docket Number	16159.035001; P6566AUG 0		

							· · · · · · · · · · · · · · · · · · ·			Tank			
					U.S. P	ATENT	DOCUMENTS			Techno.	logy Ce		
		U.S. Patent Document			ment	Name of Patentee or Applicant of Cited Document							
Examiner initials	Cite No.	Number Kind Code (if known)			Date of Publication of Cited Document MM-DD-YYYY								
				<u> </u>	FOREIG	N PATE	NT DOCUMEN	rs					
		F	oreign P	atent I	Document			 			T		
Examiner initials*	Cite No.	Office	Office Number		Kind Code (if known)	Document of Ci		of Cited	ate of Publication Cited Document M-DD-YYYY				
	1	<u> </u>	<u>L</u>		ОТ	HER DO	CUMENTS		1.		<u> </u>		
Examiner initials*	Cite No.	References									T**		
	A1	GERMANO CARONNI, SANDEEP KUMAR, CHRISTOPH SCHUBA and GLENN SCOTT; "Virtual Enterprise Networks: The Next Generation of Secure Enterprise Networking;" ACSAC 2000; pp. 1-10; 16th Annual Computer Security Applications Conference; Sun Microsystems Laboratories											
	A2	RFC 1812 Requirements for IP Version 4 Routers; June 1995; pp. 1-15; http://sunsite.dk/RFC/rfc/812.html											
	A3	S. DEERING and R. HINDEN: "Internet Protocol, Version 6 (Ipv6) Specification;" December 1998; pp. 1-37; The Internet Society											
		 											
									-				
Examiner Signature							Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{**} Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.